

**Item 1: Cover Sheet**

**FORM ADV PART 2A  
INFORMATIONAL BROCHURE**

**AIM WEALTH, LLC**

2026 SE 29th Lane  
Cape Coral FL, 33904  
[www.Aim-wealth.com](http://www.Aim-wealth.com)

Joseph F. Rambow  
(239) 634-9295

**January 1, 2022**

**This brochure provides information about the qualifications and business practices of AIM Wealth, LLC. If you have any questions about the contents of this brochure, please contact us at (239) 634-9295. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.**

**AIM Wealth, LLC is registered as an Investment Adviser with the State of Florida. Our registration does not imply a certain level of skill or training.**

**Additional information about AIM Wealth, LLC is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2: Statement of Material Changes**

Since this is the first filing of the Form ADV Part 2A for AIM Wealth, LLC, there is nothing to report. In the future, any material changes made during the year will be reported here.

**Item 3: Table of Contents**

**TABLE OF CONTENTS**

Item 1:	Cover Sheet	1
Item 2:	Statement of Material Changes	2
Item 3:	Table of Contents	3
Item 4:	Advisory Business	4
Item 5:	Fees and Compensation	4
Item 6:	Performance-Based Fees	6
Item 7:	Types of Clients	6
Item 8:	Methods of Analysis, Investment Strategies and Risk of Loss	6
Item 9:	Disciplinary Information	8
Item 10:	Other Financial Industry Activities and Affiliations	8
Item 11:	Code of Ethics, Participation or Interest in Client Transactions and Personal Trading	9
Item 12:	Brokerage Practices	9
Item 13:	Review of Accounts	10
Item 14:	Client Referrals and Other Compensation	10
Item 15:	Custody	10
Item 16:	Investment Discretion	10
Item 17:	Voting Client Securities	10
Item 18:	Financial Information	11
Item 19:	Requirement for State-Registered Advisers	11
<b>ADV Part 2B:</b>	<b>Joseph F. Rambow</b>	<b>i</b>
	<b>Privacy Notice</b>	<b>ii</b>

## INFORMATIONAL BROCHURE

### AIM WEALTH, LLC

#### Item 4: Advisory Business

AIM Wealth, Inc. (“AIM”) was formed in September 2021. AIM is owned by Joseph F. Rambow. Because AIM is a new entity, it currently reports no discretionary or non-discretionary Assets Under Management.

##### Investment Philosophy

We believe all clients are created equal and should have the access to a holistic and robust financial and investment strategies, planning, and resources, regardless of asset levels. At AIM Wealth our digitally native model allows us to provide a custom financial planning-led approach that considers all aspects of a clients lives, from investments to affiliated tax and estate planning. We believe all investors should have access to more than just a financial advisor, but a team of specialists with a variety of disciplines to collaborate, organize, and plan your financial future.

##### Investment Management

AIM Wealth takes a multi-faceted approach to investment management.

1. We *aim* for superior risk-adjusted returns.
  - a. Emphasis on client objectives and risk tolerances.
  - b. Ensuring our portfolio management efficiently and effectively helps clients achieve specific financial goals and needs.
2. We systematically rebalance portfolios with an emphasis on client’s personal investment and risk tolerances, which *aims* to create opportunities to “buy low and sell high.”
3. We incorporate tax management into your investment portfolio, which *aims* to avoid eroding after-tax investment returns and integrates the client’s portfolio strategy with their overall financial plan and tax situation.

##### Financial Planning Services

AIM develops financial plans for its clients and uses those plans to make the appropriate investments on their behalf to drive success in their financial life.

The process begins with a goals-based approach. The first step is laying out the client’s goal(s) for their financial life. From there AIM reviews the totality of a client’s financial circumstances, which include assets, liabilities and overall cash flow. Since AIM believes that the biggest determinant of success in a client’s plan is the client’s spending, there will be an in-depth discussion on their current expenses and their future spending plan. Clients may impose restrictions on investing in certain securities or types of securities.

From this client-provided information, AIM evaluates the client’s current positioning to ensure they can make the best recommendations based on these life factors. Coupled with conversations about what the client is looking to achieve and the degree of risk they are willing to take, AIM develops the optimal strategy for accomplishing the client’s goals through the use of Money Guard Pro, a financial planning software, with the ultimate goal of allowing the client to live their life financially

independent. The financial plan provided will lay out the strategies and overall potential success of the client's investment life.

## **Item 5: Fees and Compensation**

### **A. Fees Charged**

---

All individuals will be required to execute an agreement with AIM outlining the services to be performed, as well as the fees for those services. Fees are negotiable. Clients are under no obligation at any time to engage or to continue to engage AIM for investment services. If clients do not receive a copy of this brochure at least 48 hours prior to the execution of an Agreement, clients may terminate the agreement within the first five (5) business days without penalty.

AIM's standard advisory fee is based on the market value of the assets under management and is calculated as follows:

Account Value	Annual Advisory Fee
<b>\$0 - \$250,000</b>	1.5%
<b>\$250,001 - \$500,000</b>	1.25%
<b>\$500,001 - \$1,000,000</b>	1%
<b>\$1,000,001 - \$2,000,000</b>	.80%
<b>\$2,000,001 and Above</b>	.70%

The annual fees are prorated and paid in arrears on a monthly basis. The advisory fee is a tiered fee and is calculated by assessing the percentage rates using the predefined levels of assets as shown in the above chart and applying the fee to the balance on the last day of the prior month. No increase in the annual fee shall be effective without agreement from the Client by signing a new agreement or amendment to their current advisory agreement.

Advisory fees are directly debited from client accounts. Clients may obtain a refund of a pre-paid fee if the advisory contract is terminated before the end of the billing period, based on the amount of time remaining in the billing period.

### **Comprehensive Financial Planning**

For clients who contract with AIM for asset management, ongoing Comprehensive Financial Planning services are offered at no additional cost.

For individuals who contract with AIM for financial planning only, there are two service options available:

#### Flat Fee

AIM offers Financial Planning hourly services for a fee of \$99 per hour. This fee includes a full financial plan, review of portfolios and recommendations. Financial Planning meetings typically last 50 minutes.

### Monthly Membership

For individuals with less than \$10,000 to invest, AIM offers a monthly membership for a fee of \$9/month. This fee includes the creation of a model portfolio, monthly commentary on the model portfolio, and a 40% discount on the initial advisor meeting.

### **Additional Fees**

Clients referred to an Investment Manager will pay fees to that Investment Manager. There may or may not be additional fees or expenses to third parties or Investment Managers, depending on the Investment Manager and the agreement between that Investment Manager and their client. Clients should thoroughly and carefully review all materials from any Investment Manager prior to executing or otherwise entering into any agreement with an Investment Manager. Clients may incur other expenses with the implementation of advice provided such as commissions, custodian fees, or ETF expenses. None of these fees are payable to AIM. Please refer below to Item 12, Brokerage Practices, for further information.

The combination of fees for AIM and third-party managers will not exceed the industry standard of excessive fees, which is 3%.

### **B. Fee Payment**

---

As stated above, fees will be based on the assets under management. The fee is paid monthly in arrears and comes due on the last day of the calendar month of the stated billing cycle based on the balance on that day. In calculating the market value of a client's assets, assets allocated to cash or a cash proxy, such as a money market account, will not be included in the calculation of assets under management. Once the calculation is made, fees will be debited from the account.

### **C. Other Fees**

---

There are no additional fees payable to AIM. Clients referred to an Investment Manager will pay fees to that Investment Manager. There may or may not be additional fees or expenses to third parties or Investment Managers, depending on the Investment Manager and the agreement between that Investment Manager and their client. Clients should thoroughly and carefully review all materials from any Investment Manager prior to executing or otherwise entering into any agreement with an Investment Manager. Clients may incur other expenses with the implementation of advice provided such as commissions, custodian fees, or mutual fund expenses. None of these fees is payable to AIM.

### **D. Pro-rata Fees**

---

Accounts initiated or terminated during the month will be charged a prorated fee based on the amount of time remaining in the billing period. An account can be terminated by either party if canceled in writing.

### **E. Compensation for the Sale of Securities**

---

Certain professionals of AIM are registered representatives of Alpaca Securities, LLC ("Alpaca"), a FINRA member broker-dealer. Because Alpaca supervises the activities of these professionals

as registered representatives of Alpaca, the relationship may be deemed material. However, Alpaca is not affiliated with AIM or considered a related party. Alpaca does not make investment decisions for client accounts. Registered representative status enables these professionals to receive customary commissions for the sales of variable annuity products, including those recommended to clients. Commissions charged for these products will not offset management fees owed to AIM.

Receipt of commissions for investment products that are recommended to clients gives rise to a conflict of interest for the representative; the individual who receives the commission is recommending that the client purchase a given product. This conflict is disclosed to clients verbally and in this brochure. Clients are advised that they may choose to implement any investment recommendation through another broker-dealer that is not affiliated with AIM. AIM attempts to mitigate this conflict by requiring that all investment recommendations are made from a sound basis, and by requiring employees to acknowledge their fiduciary responsibility toward each client.

#### **Item 6: Performance-Based Fees**

AIM will not charge performance-based fees.

#### **Item 7: Types of Clients**

We provide financial planning and portfolio management services to individuals, high net-worth individuals and some institutions. There is no minimum to become a client of AIM.

#### **Item 8: Methods of Analysis, Investment Strategies and Risk of Loss**

AIM uses Schwab Model Portfolios along with custom managed portfolios with Lord Abbott, Alliance Bernstein and an All Cap ESG Strategy. Each strategy is described in detail below.

##### **Schwab Model Portfolios – A Series**

Schwab Model Portfolios A Series are built exclusively with cap-weighted/core index exposure, capturing broad market results in a simple and low-cost approach. The Schwab Model Portfolios utilize a behavioral-based approach to investing, combining a rigorous analytical framework with a deep understanding of investor preferences and their inherent biases towards markets.

The core asset model portfolios are constructed with proprietary exchange-traded funds (ETFs), using traditional market cap-weighted equity, fixed income, and real assets, combined with cash exposures to create a diversified, low-cost core model portfolio. The A Series offers seven models utilizing varying levels of complexity and depth to fit a range of client risk appetites and likely investor behaviors.

The models' underlying Schwab ETFs provide broad exposure to market segments and are by their nature diversified. The asset class selection process is driven by capital market expectations, investor research, and qualitative and analysis.

##### **Schwab Model Portfolios – AB Series**

Schwab Model Portfolios AB Series uniquely combines broadly diversified market exposures with the diversifying benefits of fundamental indexing. The Schwab Model Portfolios utilize a

behavioral-based approach to investing, combining a rigorous analytical framework with a deep understanding of investor preferences and their inherent biases towards markets.

The enhanced portfolios are constructed with proprietary exchange-traded funds (ETFs), incorporating strategic beta, style, and sub-asset classes as a complement to traditional cap-weighted index strategies. The AB Series offers seven models utilizing varying levels of complexity and depth to fit a range of client risk appetites and likely investor behaviors. The models' underlying Schwab ETFs provide broad exposure to market segments and are by their nature diversified. The asset class selection is driven by capital market expectations, investment style expectations, investor research, and qualitative and quantitative analysis.

### **AIM All Cap ESG Strategy**

The AIM All Cap ESG strategy is researched, developed, and maintained by Joseph Rambow of AIM Wealth as the Portfolio Manager (PM). The PM focuses heavily on ESG factors seeking to identify leading companies with strong fundamentals that are good stewards of environmental and social capital (along with traditional financial capital management). The PM follows a disciplined portfolio management process designed to result in a diversified portfolio of socially and environmentally innovative and fundamentally attractive companies. The PM utilizes the research expertise of Charles Schwab Analysts, along with Third-Party Research tools, such as FactSet, to optimize portfolio performance and reduce risk.

#### **Equity Selection**

- Following a disciplined, though flexible, and scalable process, the PM screens equities within the S&P 1500.
- The quantitative process begins with screening S&P 1500 stocks which have attractive ESG traits and strong fundamentals. Stocks are rated through Charles Schwab and FactSet ranking systems, leaving the highest rated securities to be evaluated further.
- A comprehensive Research Screen is concluded to remove stocks that do not meet the strategies objectives.
- Further, the remaining securities are run through a portfolio risk optimizer provided by FactSet to remove unintended risks and finalize the security selection.

### **AIM Wealth Managed Accounts**

In developing equity portfolios, AB LP(the Style Manager, formerly Alliance Bernstein) focuses on anticipating opportunities, using a top-down and bottom-up perspective through the AB Strategic Research Balanced SMA. The top-down perspective leads the manager to certain sectors that it will over/underweight in its accounts. The in-depth fundamental research drives the security selection process, utilizing field research as one of the most critical aspects in analyzing a company's business fundamentals. Each step in the manager's investment process modifies the portfolio sector exposures relative to the S&P 500 Index, its Style Index. This manager's active style can result in periodic returns that vary somewhat from its Style Index

#### **Equity Selection**

- Using a top-down forecast of longer-term (secular) trends, the Style Manager identifies themes that are disrupting the investment landscape.



- Stock selection is made on the basis of: 1) a long-term secular/thematic outlook; 2) exposure to secular growth trends; 3) competitive positioning/management, 4) favorable risk/reward assessment.
- Equity account characteristics (such as price to earnings ratio (P/E), earnings per share (EPS) growth rate, etc.) depend on the Style Manager's forecast for multi-year secular tailwinds and the degree of exposure that an individual company is deemed to possess. In addition, accounts have the flexibility to move across the large and mid-capitalization ranges.  
Benchmark adherence is moderate over a full market cycle; however, over shorter time periods accounts may have greater exposure to growth or value depending on their sector over/under weights relative to its Style Index. This in turn may cause the accounts' returns to deviate from its Style Index.

#### Fixed Income Selection

- Uses top down analysis of economic data, political trends, and quantitative analysis to forecast returns across the yield curve and narrow down the investable universe. Fundamental analysis is used to select the resulting securities for the Strategy.
- Generally uses intermediate U.S. Treasuries and Government Agencies. All Bonds are rated investment grade. Absolute performance will be primarily driven by yield curve and duration positioning.

#### Material Risks Involved

It is important for clients to know and remember that all investments carry risks. **Investing in securities involves risk and may result in a loss of clients' original investment which clients should be prepared to bear.**

**Market Risk:** Market risk involves the possibility that an investment's current market value will fall because of a general market decline, reducing the value of the investment regardless of the operational success of the issuer's operations or its financial condition.

**Strategy Risk:** The Adviser's investment strategies and/or investment techniques may not work as intended.

**Small and Medium Cap Company Risk:** Securities of companies with small and medium market capitalizations are often more volatile and less liquid than investments in larger companies. Small and medium cap companies may face a greater risk of business failure, which could increase the volatility of the client's portfolio.

**Turnover Risk:** At times, the strategy may have a portfolio turnover rate that is higher than other strategies. A high portfolio turnover would result in correspondingly greater brokerage commission expenses and may result in the distribution of additional capital gains for tax purposes. These factors may negatively affect the account's performance.

**Limited markets:** Certain securities may be less liquid (harder to sell or buy) and their prices may at times be more volatile than at other times. Under certain market conditions, we may be unable to sell or liquidate investments at prices we consider reasonable or favorable or find buyers at any price.

**Concentration Risk:** Certain investment strategies focus on particular asset-classes, industries, sectors or types of investment. From time to time these strategies may be subject to greater risks of adverse developments in such areas of focus than a strategy that is more broadly diversified across a wider variety of investments.

**Interest Rate Risk:** Bond (fixed income) prices generally fall when interest rates rise, and the value may fall below par value or the principal investment. The opposite is also generally true: bond prices generally rise when interest rates fall. In general, fixed income securities with longer maturities are more sensitive to these price changes. Most other investments are also sensitive to the level and direction of interest rates.

**Legal or Legislative Risk:** Legislative changes or Court rulings may impact the value of investments, or the securities' claim on the issuer's assets and finances.

**Inflation:** Inflation may erode the buying power of your investment portfolio, even if the dollar value of your investments remains the same.

### **Risks Associated with Securities**

**Exchange Traded Funds:** Prices may vary significantly from the Net Asset Value due to market condition. Certain Exchange Traded Funds may not track underlying benchmarks as expected. ETFs are also subject to the following risks: (i) an ETF's shares may trade at a market price that is above or below their net asset value; (ii) the ETF may employ an investment strategy that utilizes high leverage ratios; or (iii) trading of an ETF's shares may be halted if the listing exchange's officials deem such action appropriate, the shares are de-listed from the exchange, or the activation of market-wide "circuit breakers" (which are tied to large decreases in stock prices) halts stock trading generally. The Adviser has no control over the risks taken by the underlying funds in which the clients invest.

**Mutual Funds:** When a client invests in open-end mutual funds or ETFs, the client indirectly bears its proportionate share of any fees and expenses payable directly by those funds. Therefore, the client will incur higher expenses, many of which may be duplicative. In addition, the client's overall portfolio may be affected by losses of an underlying fund and the level of risk arising from the investment practices of an underlying fund (such as the use of derivatives).

## **Item 9:       Disciplinary Information**

### **Criminal or Civil Actions**

AIM and its management have not been involved in any criminal or civil action.

### **Administrative Enforcement Proceedings**

AIM and its management have not been involved in administrative enforcement proceedings.

### **Self-Regulatory Organization Enforcement Proceedings**

AIM and its management have not been involved in legal or disciplinary events that are material to a client's or prospective client's evaluation of AIM or the integrity of its management.

**Item 10: Other Financial Industry Activities and Affiliations**

**A. Broker-dealer**

---

Please see response to Item 5E with regards to Alpaca Securities, LLC.

**B. Futures Commission Merchant/Commodity Trading Advisor**

---

None of the principals of AIM, nor any related persons are registered, or have an application pending to register, as a futures commission merchant, commodity pool operator, a commodity trading advisor, or an associated person of the foregoing entities.

**C. Relationship with Related Persons**

---

This item is not applicable.

**D. Recommendations of Other Advisers**

---

AIM may at times recommend unrelated, third-party investment managers or professionals who have a greater expertise in certain disciplines when appropriate for the client. We do not receive any compensation from the unrelated, third-party investment managers or professionals, nor do we charge any additional fee to our clients for the recommendation to selection of third-party investment managers or professionals.

**Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**

- A. A copy of the Code of Ethics is available upon request. Our Code of Ethics includes discussions of our fiduciary duty to clients, political contributions, gifts and entertainment.
- B. AIM does not recommend to clients that they invest in any security in which AIM, or any principal thereof has any financial interest.
- C. Firm principals may at some point recommend and choose to invest in a security in their personal account that is already in, or being considered for, a client account. Principals will not place personal trades before client trades in the same security.
- D. Firm Principals may at some point choose to invest in a security in their personal account at the same time that security is being traded for or being considered for, a client account. Principals will not place personal trades before client trades in the same security at the same time.

**Item 12: Brokerage Practices**

**Factors Used to Select Custodians and/or Broker-Dealers**

AIM does not have any affiliation with Broker-Dealers. Specific custodian recommendations are made to the client based on their need for such services. AIM recommends custodians based on the reputation and services provided by the firm.

### **1. Research and Other Soft-Dollar Benefits**

AIM does not receive soft dollar benefits.

### **2. Brokerage for Client Referrals**

AIM receive no referrals from a broker-dealer or third party in exchange for using that broker-dealer or third party.

### **3. Clients Directing Which Broker/Dealer/Custodian to Use**

AIM recommends a specific custodian for clients to use. Not all advisers require their clients to direct brokerage.

### **The Custodian and Broker Used (Charles Schwab)**

The custodian and broker AIM uses maintains custody of client assets that AIM manages, although AIM may be deemed to have limited custody of your assets due to the ability to withdraw fees from client accounts (see Item 15 – Custody, below). AIM recommends that clients use Charles Schwab “Schwab”, a registered broker-dealer, member SIPC, as the qualified custodian. AIM is independently owned and operated and is not affiliated with Schwab.

### **Aggregating Orders for Various Client Accounts**

When executing a trade in various accounts, AIM aggregates the trade by purchasing the security during the day and averaging the price paid. Each client pays the average price.

## **Item 13: Review of Accounts**

All client profiles will be managed on an ongoing basis, with formal reviews with the client on at least an annual basis. However, it is expected that market conditions, changes in a particular client’s account, or changes to a client’s circumstances will trigger a review of accounts.

The annual report in writing provided by AIM is intended to review asset allocation. All clients will receive statements and confirmations of trades directly from their custodians.

## **Item 14: Client Referrals and Other Compensation**

### **A. Economic Benefit Provided by Third Parties for Advice Rendered to Client.**

This item is not applicable, as AIM is not provided any economic benefit by third parties for providing services to clients.

## **B. Compensation to Non-Advisory Personnel for Client Referrals.**

---

AIM does not directly or indirectly compensate any person who is not advisory personnel for client referrals.

### **Item 15: Custody**

AIM has the ability to have its advisory fee for each client debited by the custodian on a quarterly basis. Clients are provided, at least quarterly, with written transaction confirmation notices and regular written summary account statements directly from the broker-dealer/custodian and/or program sponsor for the client accounts. Client should carefully review any statement received from the account custodian.

Although the payment of adviser fees directly from a client's account is deemed custody, the custody requirements of Regulation 303.042 are not applicable as AIM complies with the following procedures:

- AIM possesses written authorization from clients to deduct advisory fees from an account held by a qualified custodian;
- AIM sends the qualified custodian written notice of the amount of the fee to be deducted from the client's account; and
- AIM sends the client a written invoice itemizing the fee, including any formulae used to calculate the fee, the time period covered by the fee and the amount of assets under management on which the fee was based.

### **Item 16: Investment Discretion**

For those Client accounts where AIM provides Investment Management Services, AIM maintains discretion over client accounts with respect to securities to be bought and sold and the amount of securities to be bought and sold. Investment discretion is explained to clients in detail when an advisory relationship has commenced. At the start of the advisory relationship, the client will execute a Limited Power of Attorney, which will grant our firm discretion over the account. Additionally, the discretionary relationship will be outlined in the advisory contract and signed by the client.

For clients who engage AIM on a non-discretionary basis, while there will be an ongoing relationship with each client, being involved in various stages of their lives and decisions to be made, AIM will seek specific approval of changes to client accounts before any changes are made. Clients can always make deposits or withdrawals in their accounts at any time. Clients will be responsible for executing an Investment Management Agreement that outlines the responsibilities of both the client and AIM.

### **Item 17: Voting Client Securities**

Copies of our Proxy Voting Policies are available upon request.

From time to time, shareholders of stocks, mutual funds, exchange traded funds or other securities may be permitted to vote on various types of corporate actions. Examples of these actions include mergers, tender offers, or board elections. Clients are required to vote proxies related to their investments, or to choose not to vote their proxies. AIM will not accept authority to vote client securities. Clients will receive their proxies directly from the custodian for the client account. Upon a client's request, AIM will give clients advice on how to vote proxies, but it is the responsibility of the client and the outside managers to vote client securities. For questions about proxies or other solicitations, please contact us at (239) 634-9295.

## **Item 18: Financial Information**

AIM does not require the prepayment of fees more than six (6) months or more in advance and therefore has not provided a balance sheet with this brochure.

There are no material financial circumstances or conditions that would reasonably be expected to impair our ability to meet our contractual obligations to our clients.

## **Item 19: Requirement for State-Registered Advisers**

### **Joseph F. Rambow**

Born: 1993

#### **Educational Background**

- 2012 – 2015, University of South Florida
- 2011 – 2012, Florida Gulf Coast University

#### **Business Experience**

- 1/2022 – Present, AIM Wealth, LLC, Owner
- 12/2018 – 1/2022, Merrill Lynch, Financial Advisor and Registered Representative
- 1/2016 – 12/2018, Raymond James, Financial Advisor and Registered Representative

#### **Other Business Activities**

Mr. Rambow is the owner of Rambow Properties, LLC. He is also the Chapter Advisor at Sigma Nu Theta Alpha at the University of South Florida.

#### **Performance-Based Fees**

AIM is not compensated by performance-based fees.

#### **Material Disciplinary Disclosures**

No management person at AIM has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

#### **Material Relationships That Management Persons Have with Issuers of Securities**

AIM, nor Mr. Rambow, have any relationship or arrangement with issuers of securities, in addition to what is described in Item 10.

#### **Additional Compensation**

Other than advisory fees, Mr. Rambow does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through AIM Wealth, LLC.

**Supervision**

Mr. Rambow, as Founder and Chief Compliance Officer of AIM Wealth, LLC, is responsible for supervision. He may be contacted at the phone number on this brochure supplement. All employees of AIM Wealth, LLC are required to follow the supervisory guidelines and procedures manual which are designed to ensure compliance with securities laws in the states where AIM Wealth, LLC is registered.

**Requirements for State Registered Advisers**

Mr. Rambow has NOT been involved in an arbitration, civil proceeding, self-regulatory proceeding, administrative proceeding, or a bankruptcy petition.

Item 1: Cover Sheet

FORM ADV PART 2B

**Joseph F. Rambow**  
**AIM WEALTH LLC**

2026 SE 29th Lane  
Cape Coral FL, 33904  
(239) 634-9295

**January 1, 2022**

**This Brochure Supplement provides information about Joseph Rambow that supplements AIM Wealth LLC's Brochure. You should have received a copy of that Brochure. Please contact Joseph Rambow at the number above if you did not receive AIM Wealth LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Joseph Rambow is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



**Item 2: Educational Background and Business Experience**

**Joseph F. Rambow**

**Born: 1993**

**EDUCATION:**

University of South Florida (2012-2015)  
Florida Gulf Coast University (2011-2012)  
B.S in Health Sciences, 2015

Certified Plan Fiduciary Advisor (CPFA)

**BUSINESS EXPERIENCE:**

AIM Wealth, LLC  
Owner, 9/2021 - Present

Merrill Lynch  
Financial Advisor/Registered Representative, 2018-2021

Raymond James  
Financial Advisor/Registered Representative, 2016-2018

**Item 3: Disciplinary Information**

Mr. Rambow has never been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

**Item 4: Other Business Activities**

Mr. Rambow is the owner of Rambow Properties, LLC. He is also the Chapter Advisor at Sigma Nu Theta Alpha at the University of South Florida.

**Item 5: Additional Compensation**

Other than advisory fees, Mr. Rambow does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through AIM Wealth, LLC.

**Item 6: Supervision**

Mr. Rambow, as Founder and Chief Compliance Officer of AIM Wealth, LLC, is responsible for supervision. He may be contacted at the phone number on this brochure supplement. All employees of AIM Wealth, LLC are required to follow the supervisory guidelines and procedures manual which are designed to ensure compliance with securities laws in the states where AIM Wealth, LLC is registered.

## **AIM WEALTH, LLC**

---

### **Privacy Notice**

---

This notice is being provided to you in accordance with the Securities and Exchange Commission's rule regarding the privacy of consumer financial information ("Regulation S-P"). Please take the time to read and understand the privacy policies and procedures that we have implemented to safeguard your nonpublic personal information.

#### **INFORMATION WE COLLECT**

AIM WEALTH, LLC must collect certain personally identifiable financial information about its clients to ensure that it offers the highest quality financial services and products. The personally identifiable financial information which we gather during the normal course of doing business with you may include:

1. information we receive from you on applications or other forms;
2. information about your transactions with us, our affiliates, or others;
3. information collected through an Internet "cookie" (an information collecting device from a web server); and
4. information we receive from a consumer reporting agency.

#### **INFORMATION WE DISCLOSE**

We do not disclose any nonpublic personal information about our clients or former clients to anyone, except as permitted by law. We do not disclose your personal information to any third party for the purpose of allowing that party to market other products to you. In accordance with Section 248.13 of Regulation S-P, we may disclose all of the information we collect, as described above, to certain nonaffiliated third parties such as attorneys, accountants, auditors and persons or entities that are assessing our compliance with industry standards. We enter into contractual agreements with all nonaffiliated third parties that prohibit such third parties from disclosing or using the information other than to carry out the purposes for which we disclose the information.

#### **CONFIDENTIALITY AND SECURITY**

We restrict access to nonpublic personal information about you to those employees who need to know that information to provide financial products or services to you. We maintain physical, electronic,